

RESEARCH PAPER

The neurosteroid 5 β -pregnan-3 α -ol-20-one enhances actions of etomidate as a positive allosteric modulator of α 1 β 2 γ 2L GABA_A receptors

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BACKGROUND AND PURPOSE

Neurosteroids potentiate responses of the GABA_A receptor to the endogenous agonist GABA. Here, we examined the ability of neurosteroids to potentiate responses to the allosteric activators etomidate, pentobarbital and propofol.

EXPERIMENTAL APPROACH

Electrophysiological assays were conducted on rat α 1 β 2 γ 2L GABA_A receptors expressed in HEK 293 cells. The sedative activity of etomidate was studied in *Xenopus* tadpoles and mice. Effects of neurosteroids on etomidate-elicited inhibition of cortisol synthesis were determined in human adrenocortical cells.

KEY RESULTS

The neurosteroid 5 β -pregnan-3 α -ol-20-one (3 α 5 β P) potentiated activation of GABA_A receptors by GABA and allosteric activators. Co-application of 1 μ M 3 α 5 β P induced a leftward shift (almost 100-fold) of the whole-cell macroscopic concentration–response relationship for gating by etomidate. Co-application of 100 nM 3 α 5 β P reduced the EC₅₀ for potentiation by etomidate of currents elicited by 0.5 μ M GABA by about three-fold. *In vivo*, 3 α 5 β P (1 mg kg⁻¹) reduced the dose of etomidate required to produce loss of righting in mice (ED₅₀) by almost 10-fold. In tadpoles, the presence of 50 or 100 nM 3 α 5 β P shifted the EC₅₀ for loss of righting about three- or ten-fold respectively. Exposure to 3 α 5 β P did not influence inhibition of cortisol synthesis by etomidate.

CONCLUSIONS AND IMPLICATIONS

Potentiating neurosteroids act similarly on orthosterically and allosterically activated GABA_A receptors. Co-application of neurosteroids with etomidate can significantly reduce dosage requirements for the anaesthetic, and is a potentially beneficial combination to reduce undesired side effects.

Abbreviations

3 α 5 α P, 5 α -pregnan-3 α -ol-20-one; 3 α 5 β P, 5 β -pregnan-3 α -ol-20-one (pregnanolone); LRR, loss of righting reflex

Tables of Links

TARGETS	LIGANDS
GABA _A receptors	Alphaxalone
Steroid 11 β -hydroxylase (CYP11B2)	Etomidate
	Pentobarbital
	Propofol

These Tables list key protein targets and ligands in this article which are hyperlinked to corresponding entries in <http://www.guidetopharmacology.org>, the common portal for data from the IUPHAR/BPS Guide to PHARMACOLOGY (Pawson *et al.*, 2014) and are permanently archived in the Concise Guide to PHARMACOLOGY 2013/14 (Alexander *et al.*, 2013a,b).

Introduction

The GABA_A receptor is the major inhibitory ionotropic transmitter-gated ion channel in the brain. In mature neurons, activation of GABA_A receptor results in influx of Cl⁻ leading to hyperpolarization of the cell or reduction of the effects of excitatory channels. These receptors can be activated by a variety of drugs. One class of agonists that includes GABA interacts with the orthosteric transmitter-binding sites, located in the extracellular domain of the receptor at the interfaces between the β and α subunits (Miller and Smart, 2010). The second, diverse class of agonists is termed allosteric ligands. These include neuroactive steroids (such as 5 β -pregnan-3 α -ol-20-one, 3 α 5 β P), barbiturates (such as pentobarbital) and other i.v. anaesthetics (such as etomidate and propofol). The allosteric ligands interact with their individual binding sites that do not overlap with the orthosteric transmitter-binding site (Hosie *et al.*, 2006; Li *et al.*, 2006; Chiara *et al.*, 2013; Yip *et al.*, 2013). The allosteric ligands also act as modulators, potentiating responses to GABA. The potentiating effect may (Hosie *et al.*, 2007) or may not (Stewart *et al.*, 2008) be mediated by binding sites distinct from the sites responsible for direct activation.

The interaction between allosteric and orthosteric agents, and between two allosteric agents, is an important problem, both in terms of fundamental insights into the properties of the GABA_A receptor and, in more physiological and clinical contexts, in terms of the establishment of the overall level of inhibitory influence in the CNS. We focus here on the interactions of neuroactive steroids, particularly the endogenous steroid 3 α 5 β P, with other allosteric agents. Endogenous steroids are reported to play a role in modulating the magnitude of inhibitory synaptic events (Belelli and Herd, 2003; Belelli *et al.*, 2003), whereas changes in the levels of endogenous steroids may underlie premenstrual dysphoria (Smith, 2001). In addition, exogenous steroids have been found to enhance responses to the allosteric agonist pentobarbital (Peters *et al.*, 1988) and to enhance the anaesthetic potency of etomidate (Richards and White, 1981).

Potentiation or activation of the GABA_A receptor underlies the behavioural actions of allosteric ligands, many of which are in clinical use as anaesthetics, anticonvulsants, anxiolytics or sedatives (Franks, 2006; 2008). One such drug, etomidate, is commonly used to induce sedation (Criado *et al.*, 1980; Ray and McKeown, 2012). It is a preferred anaesthetic induction agent in situations where reduced blood

pressure is not clinically tolerable. As many other imidazole-containing drugs, etomidate also acts to suppress synthesis of adrenocortical steroids (Wagner *et al.*, 1984; Ayub and Levell, 1989). The adrenocortical suppressant effects of etomidate, with the potential for a delayed hypotensive response, have limited the clinical use of etomidate, especially in patients with severe sepsis (Hunter and Kirschner, 2013).

Here, we have shown that neuroactive steroids enhance activation and modulation of the α 1 β 2 γ 2L GABA_A receptor by allosteric drugs, focusing on the i.v. anaesthetic etomidate and the endogenous neurosteroid 3 α 5 β P. In electrophysiological assays, the application of 3 α 5 β P shifted the etomidate concentration–response relationship to lower concentrations. The concentration–response relationship for potentiation of GABA-activated receptors by etomidate was also shifted to lower concentrations in the presence of the steroid. In behavioural assays, exposure to 3 α 5 β P reduced the dose of etomidate required to produce loss of righting. Neurosteroids could thus allow GABA_A receptor-mediated anaesthesia to be achieved with lower doses of etomidate.

Methods

Molecular biology and receptor expression

The experiments on heterologously expressed receptors were conducted on rat wild-type and mutated α 1 β 2 γ 2L GABA_A receptors. The α 1(Q241L) and α 1(T236I) mutations were generated using QuikChange (Agilent Technologies, Santa Clara, CA, USA). We have previously characterized the physiological consequences of the α 1(Q241L) mutation (Akk *et al.*, 2008). The complementary DNAs for the subunits were subcloned into the pcDNA3 expression vector (Life Technologies, Carlsbad, CA, USA) in the T7 orientation.

The electrophysiological recordings were conducted on receptors expressed in HEK 293 cells (ATCC CRL-1573). The cells were plated at a density of ~200 000 cells per 35 mm dish. Transfection was carried out 1 day after plating, using a calcium phosphate precipitation-based transient transfection technique. A total of 3 μ g of complementary DNA in the ratio of 1:1:1 (α : β : γ) was mixed with 12.5 μ L of 2.5 M CaCl₂, and distilled H₂O to a final volume of 125 μ L. The solution was added slowly, without mixing, to an equal volume of BES buffer (50mM *N,N*-bis(2-hydroxyethyl)-2-aminoethanesulfonic acid (BES), 280 mM NaCl, 1.5 mM Na₂HPO₄; pH 6.95). The combined mixture was incubated at

room temperature for 10 min followed by mixing the contents and an additional 15 min incubation. The precipitate was added to the cells in a 35 mm dish for overnight incubation at 37°C, followed by replacement of medium in the dish. The experiments were conducted in the course of the next 2 days after changing the medium.

Electrophysiological recordings and data analysis

HEK 293 cells expressing high levels of GABA_A receptors were identified using a bead-binding technique. The amino terminus of the $\alpha 1$ subunit has been tagged with the FLAG epitope (Ueno *et al.*, 1996). Surface expression of the FLAG epitope was verified using a mouse monoclonal antibody to the FLAG epitope (M2, Sigma-Aldrich, St. Louis, MO, USA), which had been adsorbed to immunobeads with a covalently attached goat anti-mouse IgG antibody (Life Technologies).

The experiments were conducted using the standard whole-cell voltage clamp technique. The bath solution contained (in mM): 140 NaCl, 5 KCl, 1 MgCl₂, 2 CaCl₂, 10 D-glucose and 10 HEPES; pH 7.4. The pipette solution contained (in mM): 140 CsCl, 4 NaCl, 4 MgCl₂, 0.5 CaCl₂, 5 EGTA, 10 HEPES, pH 7.4.

The drugs were applied onto the cells using an SF-77B fast perfusion stepper system (Warner Instruments, Hamden, CT, USA). The cells were clamped at -60 mV. The currents were recorded using an Axopatch 200B amplifier (Molecular Devices, Union City, CA, USA), low-pass filtered at 2 kHz and digitized with a Digidata 1320 series interface (Molecular Devices) at 10 kHz. The analysis of current traces was conducted using pClamp 9.0 software (Molecular Devices).

The experiments consisted of applying a given concentration of an allosteric or orthosteric agonist in the absence or presence of a modulator. The effect of the modulator was evaluated from the ratio of the response in the presence of a modulator to that in the absence of the modulator, that is, the response ratio.

Behavioural assays

Animals. All animal care and experimental procedures were conducted according to protocols approved by the Washington University Animal Studies Committee. We have complied with the ARRIVE guidelines for work involving animals (Kilkenny *et al.*, 2010; McGrath *et al.*, 2010). A total of 276 animals (240 *Xenopus* tadpoles and 36 BALB/c mice) were used in the experiments described here.

In *Xenopus laevis* tadpole behavioural assays, etomidate, 3 α 5 β P or etomidate + 3 α 5 β P were added to beakers containing 100 mL of oxygenated Tadpole Ringer's solution to yield known final concentrations of the drugs. The Tadpole Ringer's solution contained 5.8 mM NaCl, 67 μ M KCl, 34 μ M Ca(NO₃)₂, 83 μ M MgSO₄, 419 μ M Tris-HCl, 80 μ M Tris-base; pH 7.5. Ten tadpoles (obtained from Nasco, Fort Atkinson, WI, USA) were distributed into each beaker and allowed to equilibrate in the Tadpole Ringer's solution for 3 h. In the end of the equilibration period, the loss of righting reflex (LRR) was measured by turning the tadpole over using a hooked glass rod. LRR was defined as the inability of a tadpole to right itself within 5 s on its back, for three consecutive trials. The tests were conducted in the presence of 0.1–10 μ M etomidate,

in the absence and presence of 50 or 100 nM 3 α 5 β P. The tadpole extracellular fluid readily equilibrates with the Ringer's solution in which they swim, so the data obtained represent concentration–response rather than dose–response relationships.

Additional behavioural assays were conducted on 7–8-week-old male BALB/c mice (22–25 g each), obtained from Harlan Laboratories (Indianapolis, IN, USA). After 4 min under a moderate heat source (lamp), each mouse was placed into a commercially available 3.2 cm wide restraining device (Braintree Scientific, Inc., Braintree, MA, USA). The mice were injected i.v. with etomidate or etomidate + 1 mg·kg⁻¹ of 3 α 5 β P. Control experiments were conducted with 1 mg·kg⁻¹ of 3 α 5 β P alone, or with 35% propylene glycol (solubilization agent for etomidate). Injections were administered via tail vein at a volume of 5 μ L·g⁻¹ body mass. Each mouse was then placed on its back inside an observation cage under the moderate heat source. LRR was defined as inability of a mouse to right itself within 5 s of being placed onto its back in six consecutive trials (Stastna *et al.*, 2011).

In vitro cortisol synthesis assay

Cortisol synthesis was measured in the human adrenocortical cell line (ATCC CRL-2128) using the approach described previously (Cotten *et al.*, 2010). The cells were initially grown in 12-well culture plates in 2 mL of growth medium containing DMEM/F12 supplemented with 2.5% NuSerum (BD Biosciences, San Jose, CA, USA), 1% ITS+ premix supplements (BD Biosciences) and penicillin – streptomycin (10 IU·mL⁻¹ to 10 μ g·mL⁻¹; Mediatech, Inc., Herndon, VA, USA). Once the cells reached 95% confluence, the growth medium was replaced with assay medium containing DMEM/F12 supplemented with 0.1% ITS+ premix supplements, penicillin – streptomycin (10 IU·mL⁻¹ to 10 μ g·mL⁻¹), and 20 μ M forskolin (R&D Systems, Minneapolis, MN, USA). The assay medium also contained 3 α 5 β P and/or etomidate. After incubation for 48 h, 1.2 mL of assay medium was collected from each well and centrifuged to remove cells and debris. Cortisol concentration in the supernatant was determined using a competitive antibody binding assay according to the manufacturer's instructions (R&D Systems).

Data analysis

Response ratios in the text are shown as X-fold changes (means \pm SD). Statistical analysis was performed using the STATA software package (StataCorp, College Station, TX, USA) or Excel (Microsoft, Redmond, WA, USA), to compare the observed ratio with 1 (no effect) using a two-tailed paired *t*-test (Excel). This test is equivalent to a one-sample *t*-test to a hypothetical value of 1. This test is designed to determine whether the drug has a significant effect.

Concentration–response curves for 3 α 5 β P were generated by applying a series of steroid concentrations in the presence of 0.5 μ M GABA or 4 μ M etomidate. The data at a given concentration of 3 α 5 β P were averaged across all cells, and the averaged data were fit. Etomidate concentration–response curves were generated by applying a series of etomidate concentrations in the absence or presence of 0.5 μ M GABA and/or 3 α 5 β P. The data at a given etomidate concentration were averaged across all cells for a given agonist–modulator

combination, and the averaged data were fit. The concentration–response curves were fitted to the equation: $Y([\text{variable}]) = Y_{\min} + (Y_{\max} - Y_{\min}) [\text{variable}]^n / ([\text{variable}]^n + \text{EC}_{50}^n)$, where Y_{\min} is the low-concentration offset, Y_{\max} is the maximal potentiating effect, $[\text{variable}]$ is the concentration of $3\alpha 5\beta\text{P}$ or etomidate, EC_{50} is the concentration producing the half-maximal effect and n is the Hill coefficient. The fitting was conducted using the program NFIT (The University of Texas Medical Branch at Galveston). The fitting program returns uncertainty estimates on the best-fitting parameter values.

To equalize the apparent maximal effect when steroid potentiation of currents elicited by GABA was compared with potentiation of currents elicited by etomidate, the data were plotted in a normalized form. Normalization was conducted through the following equation:

Normalized potentiation = [(peak current in the presence of $3\alpha 5\beta\text{P}$ /peak current in the absence of $3\alpha 5\beta\text{P}$) – 1]/[(maximal peak current in the presence of $3\alpha 5\beta\text{P}$ /peak current in the absence of $3\alpha 5\beta\text{P}$) – 1]. For the normalized responses, a value of 0 indicates no effect and 1 the maximal effect.

Materials

All the compounds used in the study were obtained from Sigma-Aldrich or R&D Systems. The stock solution of GABA (at 500 mM) was made in bath solution, and stored at -20°C . The stock solution of pentobarbital was made at 1 mM in bath solution, and stored at $+4^{\circ}\text{C}$. The stock solution of propofol was made at 200 mM in DMSO, and stored at room temperature. For use in electrophysiological and tadpole behavioural assays, the stock solution of etomidate (20 mM) was made in DMSO, and stored at $+4^{\circ}\text{C}$, and the stock solutions of $3\alpha 5\beta\text{P}$ or of alphaxalone (10 mM) were made in DMSO, and stored at room temperature. The stock solutions were further diluted on the day of experiment. For use in mouse behavioural assays, $3\alpha 5\beta\text{P}$ was dissolved in a 45% (w/v

in water) (2-hydroxypropyl)- β -cyclodextrin solution at a complexation ratio of 1:4. Etomidate (Bedford Laboratories, Bedford, OH, USA) was supplied at a concentration of $2\text{ mg}\cdot\text{mL}^{-1}$ (in 35% propylene glycol), and was further diluted for administration in the same vehicle.

Results

Steroid-mediated potentiation of allosteric activation

Co-application of potentiating steroids enhances current responses from allosterically activated GABA_A receptors. Peak responses to $4\text{ }\mu\text{M}$ etomidate (a concentration eliciting approximately 2% of the maximal response to GABA) from rat $\alpha 1\beta 2\gamma 2\text{L}$ receptors were potentiated by 12 ± 11 -fold (mean \pm SD; 1 = no effect; seven cells, $P < 0.05$ vs. no effect) in the presence of $10\text{ }\mu\text{M}$ $3\alpha 5\beta\text{P}$. A typical recording is shown in Figure 1A. Currents elicited by etomidate in the presence of $3\alpha 5\beta\text{P}$ were blocked by $100\text{ }\mu\text{M}$ picrotoxin (data not shown). Both etomidate and $3\alpha 5\beta\text{P}$ at these concentrations are efficacious potentiators of currents elicited by GABA. Accordingly, to avoid contamination the experiments were conducted on cells with no prior exposure to GABA.

The modulatory effect was also observed in the presence of other potentiating steroids, such as the endogenous isomer of $3\alpha 5\beta\text{P}$, 5α -pregnan- 3α -ol-20-one ($3\alpha 5\alpha\text{P}$) and the synthetic steroid alphaxalone. The application of $10\text{ }\mu\text{M}$ $3\alpha 5\alpha\text{P}$ potentiated peak responses to $4\text{ }\mu\text{M}$ etomidate by 31 ± 25 -fold (six cells, $P < 0.05$). Co-application of $10\text{ }\mu\text{M}$ alphaxalone with etomidate enhanced the peak response by 33 ± 28 -fold (11 cells, $P < 0.01$). Typical current traces are shown in Figure 1B and C.

Potentiating effects of steroids were observed on currents elicited by other allosteric agonists. Responses to $100\text{ }\mu\text{M}$ pentobarbital were potentiated by 45 ± 33 -fold (five cells, $P < 0.05$) by $10\text{ }\mu\text{M}$ $3\alpha 5\beta\text{P}$. When the receptors were activated by

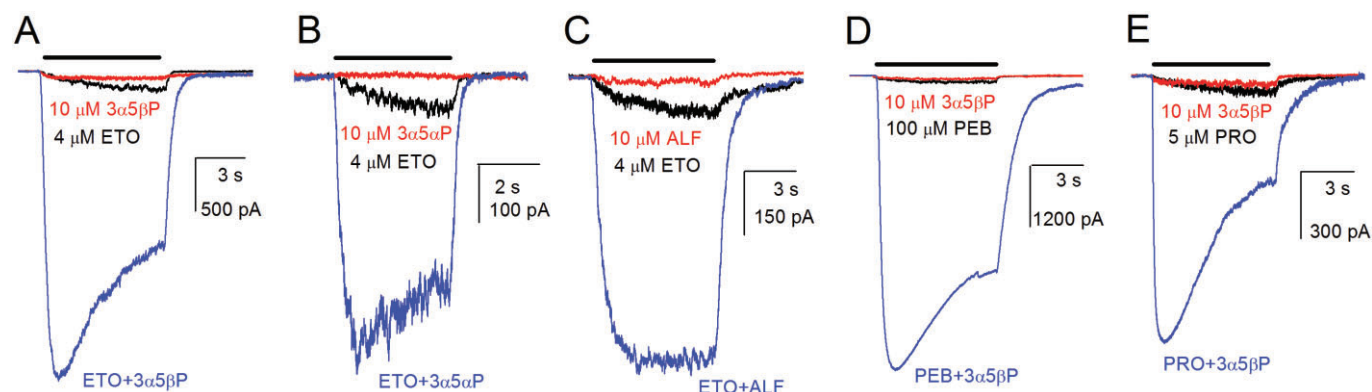


Figure 1

The effects of steroids on receptors activated by allosteric agonists. Sample current traces from HEK 293 cells expressing rat $\alpha 1\beta 2\gamma 2\text{L}$ GABA_A receptors. The receptors were activated by $4\text{ }\mu\text{M}$ etomidate (ETO) in the absence or presence of $10\text{ }\mu\text{M}$ $3\alpha 5\beta\text{P}$ (A), $3\alpha 5\alpha\text{P}$ (B) or alphaxalone (ALF; C). Panels D and E show the ability of $10\text{ }\mu\text{M}$ $3\alpha 5\beta\text{P}$ to enhance gating by two other allosteric agonists, $100\text{ }\mu\text{M}$ pentobarbital (PEB) and $5\text{ }\mu\text{M}$ propofol (PRO). Currents resulting from exposure to steroid alone are also shown. Bars on top of current traces indicate the duration of drug application. The data indicate that co-application of steroids with the allosteric activator etomidate, pentobarbital or propofol results in a strong enhancement of the peak response.

5 μ M propofol, 10 μ M 3 α 5 β P potentiated the peak response by 16 ± 7 -fold (five cells, $P < 0.01$). Sample traces are shown in Figure 1D and E.

In further experiments, we focused on the endogenous steroid 3 α 5 β P and the allosteric activator etomidate. The goals of the experiments were to characterize the interactions between 3 α 5 β P and etomidate acting at the GABA_A receptor, and to determine whether these interactions are reflected in behavioural responses and/or in a well-characterized adverse effect of etomidate.

Properties of potentiation of etomidate-elicited currents by 3 α 5 β P

To determine if the nature of the agonist affects the potency of 3 α 5 β P, we compared steroid concentration–response relationships in receptors activated by etomidate or GABA. The concentrations of the two agonists were selected to elicit similar fractional responses. The concentration of GABA was 0.5 μ M, which elicited $1 \pm 1\%$ (six cells) of the response to saturating GABA. Etomidate was employed at 4 μ M, a concentration that elicited $2 \pm 1\%$ (five cells) of the response to saturating GABA. We found that the EC₅₀ values (shown as best-fit \pm uncertainty estimate) for 3 α 5 β P were similar for both agonists: 442 ± 19 and 395 ± 78 nM for receptors activated by GABA and etomidate respectively (Figure 2A). Maximal potentiating effects were also similar (67 ± 1 and 50 ± 4 -fold in the presence of GABA and etomidate respectively). Representative current traces are shown in Figure 2B.

Mutations to the first membrane-spanning domain in the $\alpha 1$ subunit can affect modulation of GABA-elicited currents by potentiating steroids. In particular, the $\alpha 1$ (Q241L) mutation abolishes potentiation by 3 α 5 β P, possibly by eliminating a critical hydrogen bond within the receptor or with the steroid molecule (Hosie *et al.*, 2006; Akk *et al.*, 2008). To gain further insight into similarities in steroid-mediated potentiation of currents elicited by allosteric agonists and the transmitter GABA, we probed the effect of the $\alpha 1$ (Q241L) mutation on potentiation of currents elicited by etomidate. To reliably record control electrophysiological responses, we employed a higher concentration of etomidate (30 μ M, EC₄). We found that the $\alpha 1$ (Q241L) mutation abolished potentiation of etomidate-activated receptors by 10 μ M 3 α 5 β P (Figure 2C). The peak response in the presence of the steroid was $96 \pm 26\%$ of control (seven cells, $P > 0.6$). As shown in Figure 3A, for wild-type receptors, there was a marked potentiation of responses to 30 μ M etomidate. These data suggest that the mechanisms of potentiation of receptors activated by allosteric agonists are similar to the mechanisms for receptors activated by the transmitter GABA.

Indistinguishable potentiation of orthosterically (GABA) and allosterically (etomidate) activated receptors by 3 α 5 β P suggests that in both cases the steroid is the potentiating agent, and GABA or etomidate acts as the agonist component. To provide additional confirmation, we examined the effect of the $\alpha 1$ (T236I) mutation that reduces direct gating by several steroids, including 3 α 5 β P (Hosie *et al.*, 2006). We found that receptors containing the $\alpha 1$ (T236I) mutation retained their ability to be potentiated during co-application of 30 μ M etomidate and 10 μ M 3 α 5 β P (8 ± 6 -fold, eight cells,

$P < 0.05$ vs. no effect). Thus, these data support the idea that when the two agonists are combined the major interaction between 3 α 5 β P and etomidate is for the steroid to enhance response to etomidate, rather than for etomidate to enhance direct activation by steroid. Sample current traces are shown in Figure 2D.

Effect of steroid on the concentration–response properties for gating and potentiation by etomidate

Next, we examined the effect of 3 α 5 β P on the etomidate concentration–response relationship. Cells expressing wild-type $\alpha 1\beta 2\gamma 2L$ receptors were exposed to etomidate alone or to etomidate in the presence of 1 μ M 3 α 5 β P. The presence of steroid shifted the etomidate concentration–response curve to the left. The EC₅₀ for direct gating by etomidate was 218 ± 5 μ M in the absence of steroid, and 2.8 ± 0.5 μ M in the presence of 1 μ M 3 α 5 β P (Figure 3A). Etomidate is a relatively high-efficacy agonist, with a maximal response of well over 60% of that observed in the presence of saturating GABA. In the presence of 3 α 5 β P, the peak response to saturating etomidate reached that observed in the presence of saturating GABA (Figure 3A).

Under physiological conditions, neurons are tonically exposed to low (0.5–1.0 μ M) concentrations of GABA in the CSF (Eckstein *et al.*, 2008). The synaptic $\alpha 1\beta 2\gamma 2L$ subtype responds weakly to such low levels of GABA (less than 2% of maximal response). We observed that co-application of 0.5 μ M GABA with a mix of low concentrations of a steroid (100 nM 3 α 5 β P) and etomidate (1 μ M) strongly potentiated the peak response. The average response to 0.5 μ M GABA was increased by 9 ± 3 -fold by 100 nM 3 α 5 β P, 37 ± 21 -fold by 1 μ M etomidate and 210 ± 103 -fold (mean \pm SD, six cells) by the combination of 3 α 5 β P and etomidate. A sample recording is shown in Figure 3B. To learn more about how the presence of low GABA affects currents elicited by the combination of anaesthetic + steroid, we conducted concentration–response relationship measurements for etomidate in the presence of a fixed low concentration of GABA (0.5 μ M) and a range of concentrations of 3 α 5 β P (0, 0.1 and 1 μ M). The data demonstrate that the concentration of etomidate required to elicit inward currents is significantly lower when GABA is added to the solution bathing the receptors. With no steroid in the solution, the addition of 0.5 μ M GABA shifted the EC₅₀ for etomidate from 218 to 2.0 ± 0.1 μ M. The addition of 3 α 5 β P further shifted the concentration–response curves (Figure 3C). In the presence of GABA + 100 nM 3 α 5 β P, the EC₅₀ for etomidate was 0.71 ± 0.05 μ M, and in the presence of GABA + 1 μ M 3 α 5 β P, the EC₅₀ for etomidate was 0.28 ± 0.06 μ M.

The application of 3 α 5 β P reduces the dose of etomidate required to produce loss of righting

GABA_A receptor-mediated currents underlie the ability of etomidate to induce or maintain sedation (Franks, 2006; Solt and Forman, 2007). The data obtained on recombinant receptors thus suggest that etomidate dose–response curves for sedation may be left-shifted in the presence of 3 α 5 β P. We probed the effect of steroid on the anaesthetic properties of etomidate in two animal models. First, we examined the tadpole

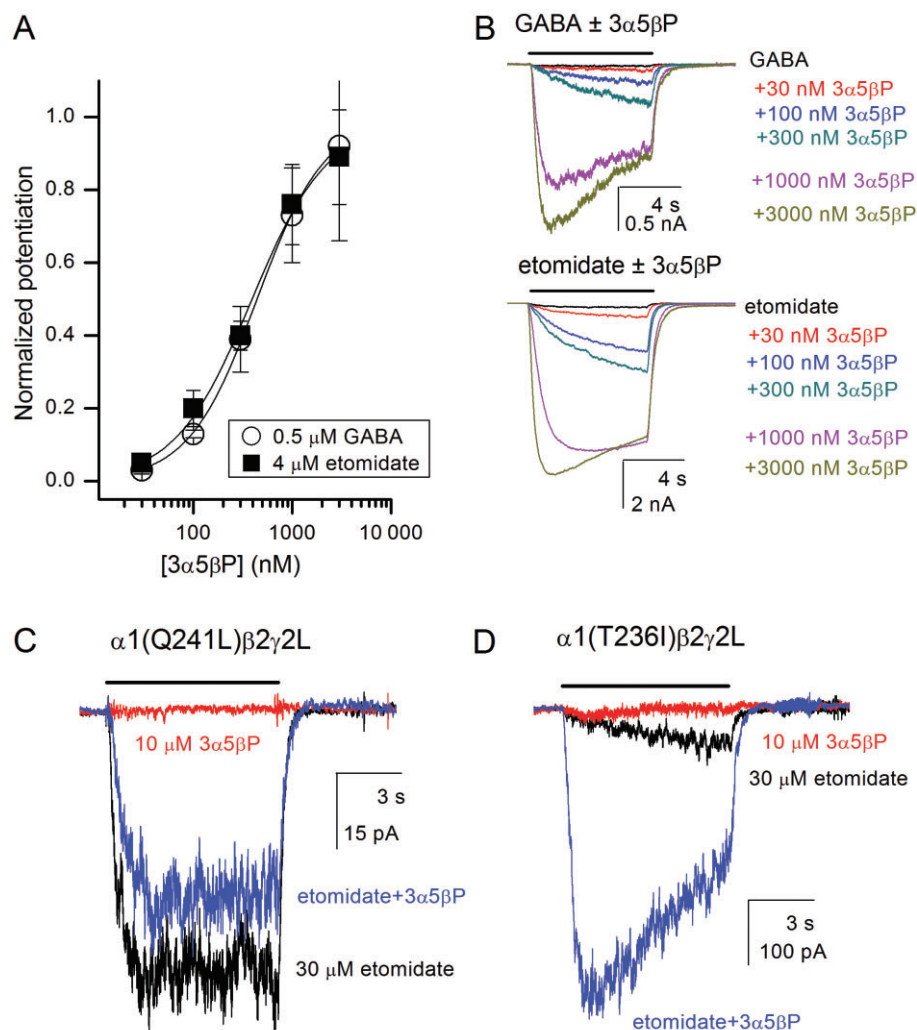


Figure 2

Properties of steroid-mediated potentiation of receptors activated by etomidate. (A) Concentration–response curves for $3\alpha5\beta\text{P}$. The wild-type $\alpha1\beta2\gamma2\text{L}$ receptors were activated by 0.5 μM GABA or 4 μM etomidate in the absence and presence of 30–3000 nM $3\alpha5\beta\text{P}$. The data points give mean \pm SEM from six (GABA) or five cells (etomidate). The data are plotted in normalized form (see Methods for details). The EC_{50} estimates are 442 ± 19 and 395 ± 78 nM for receptors activated by 0.5 μM GABA and 4 μM etomidate respectively. The fitted values for Y_{max} were 67 ± 1 and 50 ± 4 (best-fitting values \pm calculated uncertainty) for receptors activated by GABA and etomidate respectively. (B) Representative traces for receptors activated by 0.5 μM GABA or 4 μM etomidate, and modulated by 30–3000 nM $3\alpha5\beta\text{P}$. (C and D) Sample whole-cell recordings from HEK 293 cells expressing rat $\alpha1(\text{Q241L})\beta2\gamma2\text{L}$ or $\alpha1(\text{T236I})\beta2\gamma2\text{L}$ GABA_A receptors. The receptors were activated by 30 μM etomidate in the absence and presence of 10 μM $3\alpha5\beta\text{P}$. The $\alpha1(\text{Q241L})$ but not the $\alpha1(\text{T236I})$ mutation abolished potentiation of etomidate-activated receptors by the steroid $3\alpha5\beta\text{P}$. Overall, the data indicate that $3\alpha5\beta\text{P}$ similarly modulates receptors activated by GABA and the allosteric activator etomidate. Bars on top of current traces indicate the duration of drug application.

LRR in the presence of etomidate and various concentrations of $3\alpha5\beta\text{P}$. Loss of righting can be considered a surrogate for sedation in animal models (Halsey *et al.*, 1986; Franks, 2008). Our data show that the etomidate EC_{50} for LRR was reduced from 1.6 to 0.5 or 0.1 μM in the presence of 50 or 100 nM $3\alpha5\beta\text{P}$ respectively (Figure 4). We note that the findings for etomidate EC_{50} are in good agreement with previous data (2.3 μM ; Belelli *et al.*, 2003). In control experiments, 50–100 nM $3\alpha5\beta\text{P}$ applied in the absence of etomidate were unable to elicit LRR (data not shown).

In the second experiment, we examined the effect of a subanaesthetic dose of $3\alpha5\beta\text{P}$ on the etomidate ED_{50} for LRR in mice. The dose of etomidate producing half-maximal effect was $0.19 \text{ mg}\cdot\text{kg}^{-1}$ under control conditions, and $0.02 \text{ mg}\cdot\text{kg}^{-1}$ in the presence of $1 \text{ mg}\cdot\text{kg}^{-1}$ $3\alpha5\beta\text{P}$ (Figure 5). Assuming uniform distribution of the drug, these doses correspond to 0.8 and 0.04 $\mu\text{mol}\cdot\text{kg}^{-1}$ etomidate respectively. Injections of $1 \text{ mg}\cdot\text{kg}^{-1}$ of $3\alpha5\beta\text{P}$ alone, or 35% propylene glycol (solvent), did not result in LRR (data not shown; but see Covey *et al.*, 2000).

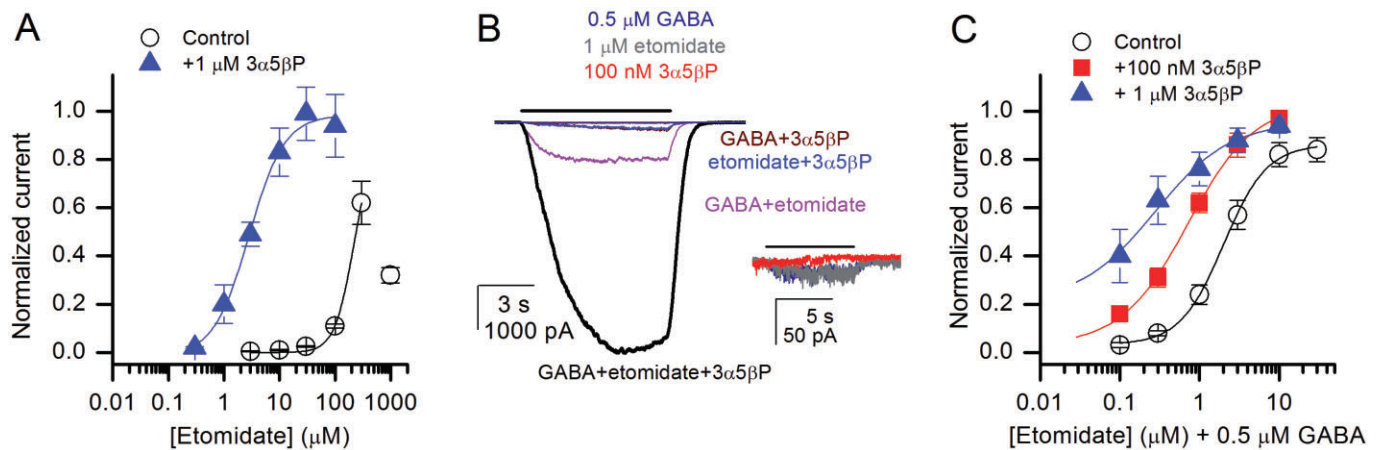


Figure 3

3α5βP affects etomidate concentration–response properties in the absence and presence of GABA. (A) Concentration–response curves for gating by etomidate in the absence and presence of 1 μM 3α5βP. The data were normalized to the response to a saturating concentration (100 μM) of GABA in the same cell. The data points give mean \pm SEM from five cells in each condition. The data were fitted as described in Methods. The results (best fit \pm uncertainty estimate) from the fits are as follows. Control: $EC_{50} = 218 \pm 5$ μM, Hill coefficient, $n = 2.5 \pm 0.1$, Y_{max} was constrained to an arbitrarily chosen value of 0.9 and Y_{min} was constrained to 0. The data point at 1000 μM is skewed by channel block, and was not included in the fit. Etomidate + 1 μM 3α5βP: $EC_{50} = 2.8 \pm 0.5$ μM, $n = 1.4 \pm 0.3$, $Y_{max} = 0.98 \pm 0.04$, $Y_{min} = -0.01 \pm 0.07$. (B) Sample current response traces from HEK 293 cells expressing rat $\alpha 1\beta 2\gamma 2L$ GABA_A receptors activated by 0.5 μM GABA, 1 μM etomidate or 100 nM 3α5βP, or by combinations of these drugs. The bar on top of current traces indicates the duration of drug application. The data show that the application of GABA + etomidate + 3α5βP potentiates the current responses beyond what is seen with applications of single drugs or any combination of two drugs. The inset shows current traces in the presence of 0.5 μM GABA, GABA + 100 nM 3α5βP or GABA + 1 μM 3α5βP, at a higher resolution. (C) Concentration–response curves for etomidate in the presence of 0.5 μM GABA, GABA + 100 nM 3α5βP or GABA + 1 μM 3α5βP. The data were normalized to the response to 100 μM GABA. The data points give mean \pm SEM from five cells under each condition. The data were fitted as described in Methods. The results from the fits are as follows. Control (etomidate + 0.5 μM GABA): $EC_{50} = 2.0 \pm 0.1$ μM, $n = 1.6 \pm 0.2$, $Y_{max} = 0.86 \pm 0.02$, $Y_{min} = 0.03 \pm 0.02$. Etomidate + 0.5 μM GABA + 100 nM (1 μM) 3α5βP: $EC_{50} = 0.71 \pm 0.05$ μM, $n = 1.0 \pm 0.1$, $Y_{max} = 1.04 \pm 0.06$, Y_{min} was constrained to the response obtained in the presence of 100 nM 3α5βP + 0.5 μM GABA (0.03). Etomidate + 0.5 μM GABA + 100 nM (1 μM) 3α5βP: $EC_{50} = 0.28 \pm 0.06$ μM, $n = 0.9 \pm 0.2$, $Y_{max} = 0.96 \pm 0.04$, Y_{min} was constrained to the normalized response obtained in the presence of 1 μM 3α5βP + 0.5 μM GABA (0.21).

Co-application of steroid with etomidate is not accompanied by changes in inhibition of adrenal steroid synthesis

Etomidate potently inhibits steroid 11β-hydroxylase (CYP11B2), a crucial enzyme in the adrenocortical steroid synthesis pathway (Dorr *et al.*, 1984; de Jong *et al.*, 1984). As a result, etomidate exposure can be associated with adrenocortical suppression that can continue for several days following initial exposure and may lead to increased mortality (Wagner *et al.*, 1984; Ray and McKeown, 2012; Hunter and Kirschner, 2013). The observed reduced etomidate requirement for producing LRR in the presence of 3α5βP raises the possibility that sedation could be achieved at doses where adverse effects are manifested less strongly.

In order to assess the effect of 3α5βP on cortisol synthesis, we used an ELISA to measure cortisol release from human adrenocortical cells, employing a commercially available cortisol antibody binding assay. This assay uses a prolonged exposure to drugs (48 h) and has been used to examine the potential for etomidate and analogues to inhibit cortisol synthesis (Cotten *et al.*, 2009; 2010).

The cells were exposed to 0.1–100 μM etomidate in the absence and presence of 100 nM 3α5βP. The data suggest that the etomidate-induced reduction of cortisol release is not affected by 100 nM 3α5βP. In three experiments examining the effect of etomidate alone on release of cortisol, the IC_{50} for

reduction of cortisol release was 9 ± 8 nM. In three experiments examining the effect of etomidate in the presence of 100 nM 3α5βP, the IC_{50} was 18 ± 10 nM. The difference between means was not statistically significant ($P > 0.28$; *t*-test). Exposure to 100 nM 3α5βP in the absence of etomidate did not affect baseline release of cortisol (not shown). A plot showing the averaged data on the effect of etomidate on cortisol release is given in Figure 6.

Discussion and conclusions

We have shown that potentiating neurosteroids positively modulate the potency of both orthosteric and allosteric agonists as activators of recombinant $\alpha 1\beta 2\gamma 2L$ GABA_A receptors. Specifically, current responses to submaximal concentrations of etomidate, pentobarbital or propofol were enhanced in the presence of the potentiating steroid 3α5βP. The steroid also enhanced responses to the combination of etomidate and GABA, and shifted the concentration–response curves for etomidate to lower concentrations, both in the absence and presence of GABA. The behavioural outcome of co-application of 3α5βP with etomidate is that loss of righting in *Xenopus* tadpoles or mice occurs at lower doses of etomidate. The presence of the neuroactive steroid had no effect on adrenocortical steroid synthesis, indicating that

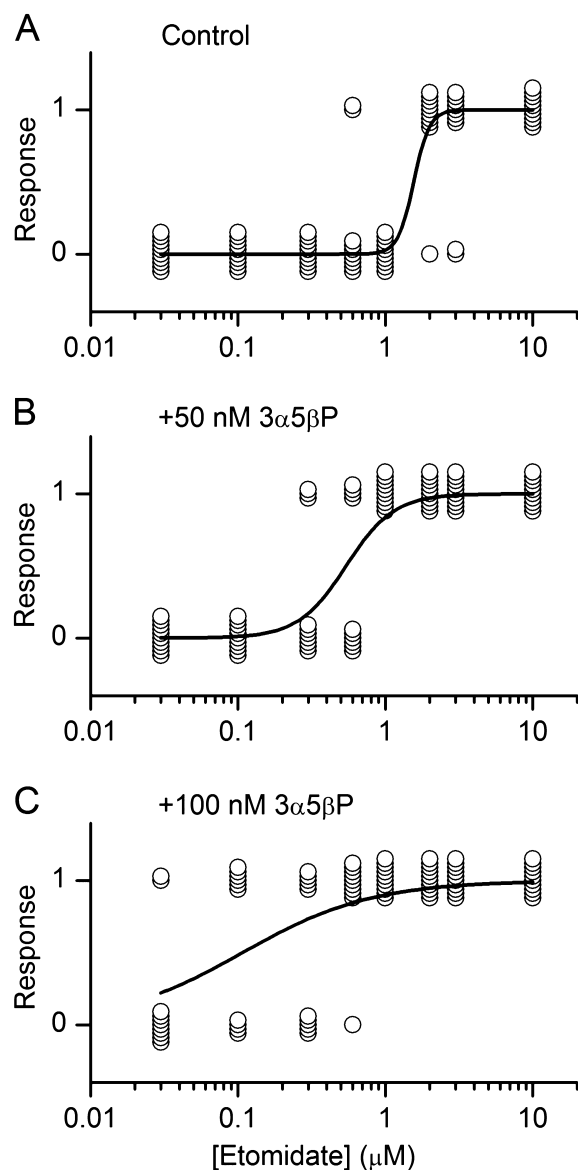


Figure 4

Effect of $3\alpha5\beta\text{P}$ on etomidate-induced loss of righting (LRR) in tadpoles. (A) Exposure to etomidate causes LRR in *Xenopus* tadpoles. The data are plotted as quantal dose–response relationship where each symbol corresponds to one tadpole. The ordinate gives the response to etomidate that could manifest as no effect (0) or LRR (1). (B and C) Co-application of 50 or 100 nM $3\alpha5\beta\text{P}$ shifts the etomidate LRR curve to lower concentrations. The curves were fitted with the following equation: $Y([\text{etomidate}]) = [\text{etomidate}]^n / ([\text{etomidate}]^n + \text{EC}_{50}^n)$, where EC_{50} is the concentration producing the half-maximal effect, and n is the Hill coefficient. The concentrations of etomidate producing half-maximal effect were as follows. Control: $\text{EC}_{50} = 1.6 \pm 0.2 \mu\text{M}$. Etomidate + 50 nM $3\alpha5\beta\text{P}$: $\text{EC}_{50} = 0.5 \pm 0.1 \mu\text{M}$. Etomidate + 100 nM $3\alpha5\beta\text{P}$: $\text{EC}_{50} = 0.11 \pm 0.03 \mu\text{M}$.

lower effective doses of anaesthetics in the presence of steroid may be accompanied by reduced side effects.

Electrophysiological data indicate that a similar mechanism underlies the interaction between $3\alpha5\beta\text{P}$ and orthos-

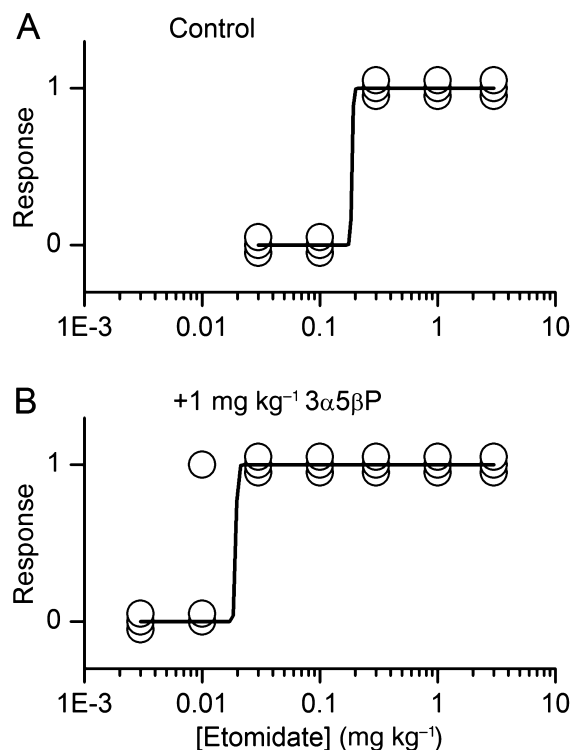


Figure 5

Effect of $3\alpha5\beta\text{P}$ on etomidate-induced loss of righting (LRR) in mice. (A) Mice were exposed to etomidate alone. Data are presented and analyzed as in Figure 4. Half-maximal effect was produced by $0.19 \text{ mg}\cdot\text{kg}^{-1}$ etomidate. (B) Mice were exposed to etomidate in the presence of $1 \text{ mg}\cdot\text{kg}^{-1}$ $3\alpha5\beta\text{P}$. Half-maximal effect was produced by $0.02 \text{ mg}\cdot\text{kg}^{-1}$ etomidate.

teric or allosteric activators. The $\alpha1(\text{Q241L})$ mutation abolished potentiation of receptors activated by GABA (Hosie *et al.*, 2006) or etomidate (Figure 2A). Comparison of concentration–response properties for $3\alpha5\beta\text{P}$ demonstrates that the apparent affinity of the steroid was the same for receptors activated by low concentrations of GABA or by etomidate (Figure 2C).

It is likely that potentiation of GABA_A receptors containing the $\alpha1$ and $\gamma2$ subunits, and either the $\beta2$ or $\beta3$ subunit, underlies or contributes to many anaesthetic end points. Knock-in mice containing mutations that render the $\alpha1$ subunit resistant to isoflurane require higher doses of isoflurane to elicit loss of righting (Sonner *et al.*, 2007). Introduction of the $\alpha1\text{H101R}$ mutation, which renders the receptor insensitive to diazepam, leads to loss of sedative and amnesic actions of diazepam in mice harbouring this mutation (Rudolph *et al.*, 1999). The $\beta2$ subunit has been implicated in the sedative actions of etomidate. In behavioural tests examining loss of pedal withdrawal reflex or spontaneous or forced locomotor activity in the presence of etomidate, mice harbouring the N265S mutation in the $\beta2$ subunit show significant changes compared with wild-type littermates (Reynolds *et al.*, 2003). We therefore consider that the effects seen in electrophysiological data obtained on recombinant $\alpha1\beta2\gamma2\text{L}$ receptors underlie or at least contribute to the behavioural

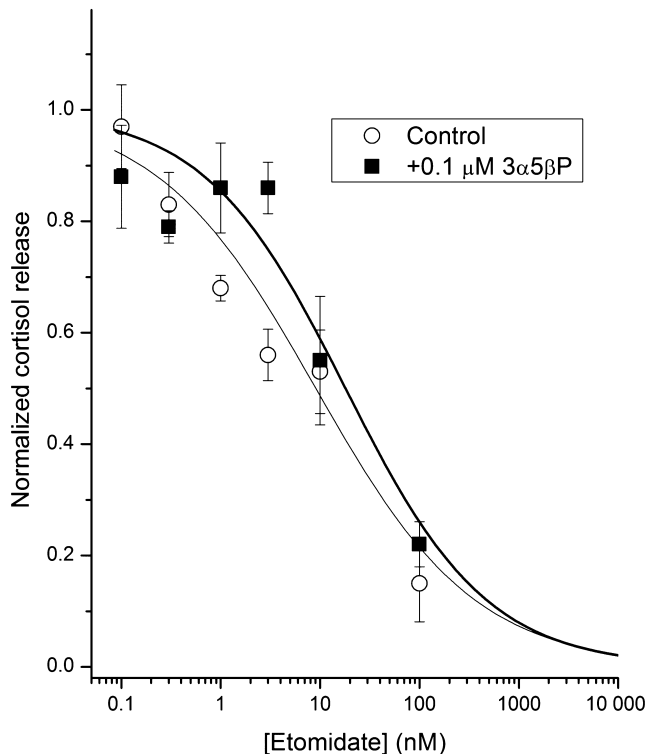


Figure 6

Effect of $3\alpha 5\beta P$ on etomidate-induced reduction of cortisol release. Exposure to etomidate reduces cortisol release in human adrenocortical cells (H295R cell line). The results of ELISA give mean \pm SEM for normalized cortisol release from three experiments at each condition. Cells were exposed to 0.1–100 nM etomidate in the absence (Control) or presence of 0.1 μM $3\alpha 5\beta P$. The mean data were fitted with the following equation: $Y([etomidate]) = [steroid]^n / ([steroid]^n + IC_{50}^n)$, where IC_{50} is the concentration producing the half-maximal effect, and n is the Hill coefficient. The IC_{50} values (best fit \pm SD) and Hill coefficients (from three experiments under each condition) are as follows. Control: $IC_{50} = 9 \pm 8$ nM, $n = 0.54 \pm 0.14$. Etomidate + 0.1 μM $3\alpha 5\beta P$: $IC_{50} = 18 \pm 10$ nM, $n = 0.61 \pm 0.15$. The IC_{50} estimates were not statistically different (t -test, $P > 0.05$).

effects observed in tadpole and mouse assays. In future experiments, it will be important to test the sensitivity of other subtypes of the GABA_A receptor, especially those contributing to tonic responses, to combinations of anaesthetics and steroids. Furthermore, targets besides the GABA_A receptor may be relevant. For example, nicotinic receptors are inhibited by barbiturates at clinically relevant concentrations (Downie *et al.*, 2000; Coates *et al.*, 2001). It is not clear how co-application of steroids with barbiturates affects this type of modulatory effect.

A major adverse effect of etomidate is inhibition of steroid 11β -hydroxylase, leading to inhibition of synthesis of adrenocortical steroids (Wagner *et al.*, 1984; Ayub and Levell, 1989). Chemical modifications of the etomidate structure have been made with the goal of reducing this side effect (Cotten *et al.*, 2009; 2010). An alternative approach is to determine conditions that enhance the effectiveness of etomidate and thereby lower the required etomidate dosage for anaesthesia. Our data demonstrate that co-application of

$3\alpha 5\beta P$ lowers the dosage requirement for etomidate. The presence of 1 mg·kg⁻¹ $3\alpha 5\beta P$ shifted the etomidate-induced loss of righting curve by almost 10-fold in mice. The presence of steroid was without effect on cortisol synthesis in the human adrenocortical cell assay, indicating that the potential to reduce cortisol synthesis was not altered. This suggests that reduced etomidate dosage in the presence of steroids may be accompanied by a reduction in this off-target effect. Because steroids and analogues are known to differ greatly in potency and efficacy (Akk *et al.*, 2007), a more significant effect on etomidate-induced anaesthesia may be achieved using other endogenous or novel synthetic steroids or analogues.

The plasma concentration of etomidate in surgical patients is 0.6 μM (Hebron *et al.*, 1983), which is comparable with the concentration estimated from the dose producing LRR in mice (Figure 5; 0.8 μM) or the EC_{50} for LRR in tadpoles (Figure 4; 1.6 μM). It is perhaps fortuitous that the estimated EC_{50} for etomidate potentiation of responses of $\alpha 1\beta 2\gamma 2L$ receptors to 0.5 μM GABA is also 2 μM (Figure 3C). However, even 100 nM $3\alpha 5\beta P$ produced a significant left-shift in the concentration–potentiation relationship for etomidate (Figure 3C). At a concentration of etomidate of 0.6 μM , the presence of 100 nM $3\alpha 5\beta P$ increased the response to 0.5 μM GABA about fivefold over the response in the presence of GABA plus etomidate alone.

The estimates for baseline brain concentration of potentiating steroids are in tens of nanomoles (Weill-Engerer *et al.*, 2002), and our data indicate that both $3\alpha 5\alpha P$ and $3\alpha 5\beta P$ can enhance responses to etomidate, leading to the possibility that several endogenous steroids may contribute *in vivo*. Higher (micromolar) concentrations of steroids can elicit loss of righting on their own (Akk *et al.*, 2007). Thus, there is a window of steroid concentrations where a leftward shift in etomidate actions is observed without the steroid itself acting as an anaesthetic agent.

Functional interactions between GABAergic drugs have been observed previously. The steroid $3\alpha 5\beta P$ has been shown to enhance currents elicited by pentobarbital in bovine adrenomedullary chromaffin cells (Peters *et al.*, 1988). Richards and White (1981) found that the steroid alphaxalone enhanced the anaesthetic effect of etomidate in rats. Synergistic interactions (loosely defined as more than additive effects of combinations of drugs) in electrophysiological assays have previously been noted for benzodiazepines and barbiturates (DeLorey *et al.*, 1993), or benzodiazepines and propofol (Reynolds and Maitra, 1996), and benzodiazepines show synergy with propofol with respect to some anaesthetic end points (Reynolds and Maitra, 1996; Wilder-Smith *et al.*, 2001). An additive effect of the actions of propofol and sevoflurane was found using recombinant GABA_A receptors (Sebel *et al.*, 2006). A recent critical review re-analysing previously published data found both synergy and simple additivity with respect to different end points in the actions of propofol and thiopental (Hendrickx *et al.*, 2008). We have previously shown that the benzodiazepine diazepam potentiates peak currents from $\alpha 1\beta 2\gamma 2L$ GABA_A receptors elicited by pentobarbital, propofol or etomidate (Li *et al.*, 2013). Although these studies support the idea that a variety of GABAergic agents interact at the levels of the receptor and the whole organism, further studies are needed to determine the kinetic mechanism of potentiation of etomidate-activated receptors by

neuroactive steroids, and whether the effect can be considered synergistic.

In summary, the available data indicate considerable interplay among GABAergic drugs. It was surprising that the synaptic class of GABA_A receptors generated a significant response to a low concentration (0.5 μ M) of GABA in the combined presence of etomidate and 3 α 5 β P. This might indicate that endogenous levels of GABA could significantly activate the synaptic population of receptors under certain conditions. Our data also demonstrate that both endogenous (3 α 5 β P and 3 α 5 α P) and exogenous (alfaxalone) neuroactive steroids can potentiate the action of etomidate, and at least 3 α 5 β P potentiates the actions of a barbiturate and propofol. This then raises the possibility that endogenous potentiating steroids, including 3 α 5 β P, can fine-tune the clinical actions of anaesthetics and that physiological changes in the levels of steroids may affect the doses of anaesthetics required to produce the desired end point. Brain levels of GABAergic potentiating steroids depend on age and gender, and can change following stress or alcohol use, or in disease states (Smith, 2001; Backstrom *et al.*, 2003; Schumacher *et al.*, 2003; Morrow *et al.*, 2009). We hypothesize that all these factors can influence the induction and maintenance of general anaesthesia. An increase in the levels of progesterone (a precursor of both 3 α 5 β P and 3 α 5 α P) in CSF (Datta *et al.*, 1986) may underlie the reduction in minimal anaesthetic concentration for some inhaled anaesthetics during pregnancy (Palahniuk *et al.*, 1974).

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Author contributions

S. M., J. H. S., A. S. E. and G. A. conceived the project and designed the experiments. P. L., J. R. B., B. D. M. and S. M. conducted the experiments. J. H. S., A. S. E. and G. A. wrote the manuscript.

Conflict of interest

A. S. E. has served as an advisor to and has an equity interest in Sage Therapeutics, a company that is developing neurosteroids as sedative agents. Sage had no input into this work and did not provide any financial support to this work or to any of the laboratories involved in this study.

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